



STATE OF TENNESSEE  
DEPARTMENT OF FINANCIAL INSTITUTIONS  
**COMPLIANCE DIVISION**  
511 UNION STREET  
SUITE 400, NASHVILLE CITY CENTER  
NASHVILLE, TENNESSEE 37219

\$50.00 FEE

**APPLICATION AND INVESTIGATION FEE TO REGISTER AS AN INDUSTRIAL LOAN &  
THRIFT COMPANY**

To the Commissioner of Financial Institutions:

I, \_\_\_\_\_  
NAME AND TITLE OF OFFICER

\_\_\_\_\_  
NAME AND ADDRESS OF HOME OFFICE

hereby certify upon oath that pursuant to T.C.A. 45-5-202 the following entity makes application to operate as an industrial loan and thrift at the following location: .

\_\_\_\_\_  
NAME OF COMPANY OR BRANCH

\_\_\_\_\_  
ADDRESS

Opening date on or about \_\_\_\_\_  
NAME AND DAYTIME TELEPHONE  
NUMBER OF CONTACT PERSON

Form of business (Individual, Partnership, Corporation) \_\_\_\_\_

Names of Principals:

Business History:

Business Background of Principals (please describe in detail or attach resumes) :

Name of Tennessee licensed insurance agent: \_\_\_\_\_

Has any partner, stockholder, officer, director, or employee ever been convicted of a felony?

Yes \_\_\_\_\_ No \_\_\_\_\_ If yes, explain fully.

Under penalty of perjury, I hereby certify that all the information provided relative to this application is true and correct; further, that the following forms and fees are included herein:

1. Annual Inspection and Registration Form
2. Privilege Tax Form
3. Charter Documents
4. Financial Statement
5. All Fees.

Witness my signature on this \_\_\_\_\_ day

of \_\_\_\_\_.

\_\_\_\_\_  
Signature of Officer, Partner or Proprietor

Subscribed and sworn to before me

on this \_\_\_\_\_ day of \_\_\_\_\_.

\_\_\_\_\_  
Notary Public

My commission Expires; \_\_\_\_\_

**MAKE CHECK PAYABLE TO THE DEPARTMENT OF FINANCIAL INSTITUTIONS**